DESCRIPTION

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GENETIC ADJUVANTS FOR IMMUNOTHERAPY

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Cross-Reference to Related Application

The present application claims the benefit of priority of U.S. Provisional Application Serial No. 60/319,523, filed September 5, 2002, which is hereby incorporated by reference herein in its entirety, including any figures, tables, nucleic acid sequences, amino acid sequences, or drawings.

Background of Invention

The effectiveness of allergen immunotherapy (AIT) is often compromised by the use of high doses of allergens for treatment, which can lead to severe systemic reactions and the inconvenience and discomfort of frequent dosing (Kemp, S.F., Immunol Allergy Clin North Am, 2000, 20:571). It has been suggested that the utilization of an adjuvant in conjunction with an allergen vaccine might enhance both the safety and the effectiveness of AIT (Mohapatra, S.S. and San Juan, H. Immunol Allergy Clin North Am, 2000, 20:625-642). Two approaches are under investigation. The first is coimmunization with a mixture of antigen(s) and bacterial DNA or immunostimulatory oligodeoxynucleotides (ODNs), which contain CpG motifs (Horner, A.A. et al., J. Allergy Clin Immunol, 2000, 106:349-356) and elicit a protective T_H1 response (Roman, M. et al., Nat Med, 1997, 3:849-854). The potential of CpG-containing ODNs as adjuvants in AIT is under intense investigation; however, it has been reported that the immunostimulatory activity of these ODNs might be blocked by certain non-CpG motifs (Krieg, A.M. et al., Proc Natl Acad Sci USA, 1998, 95:12631-12636; Hacker, H. et al., EMBO J, 1998, 17:6230-6240). In addition, these ODNs might not suppress established allergic responses (Peng, Z. et al., Int Immunol, 2001, 13:3-11). In a second approach, the potential of plasmid DNA (pDNA)-encoding cytokine(s) as genetic adjuvants has been examined, with varying levels of success, for modulating the immune

response stimulated by administered antigen vaccines (Pasquini, S. et al., Immunol Cell Biol, 1997, 75:397-401).

Cytokines interferon-γ (IFN-γ) and interleukin-12 (IL-12) are known to mediate T-cell differentiation toward a T_H1-like phenotype (Boehm, U. *et al.*, *Annu Rev Immunol*, 1997, 15:749-795). Despite numerous studies in which pure or recombinant IFN-γ and IL-12 were used, their *in vivo* use has been limited by the short half-life of these molecules and the associated severe adverse effects (Mohapatra, S.S., *Science*, 1995, 269:1499). Mucosal IFN-γ gene transfer has earlier been shown to inhibit both antigen- and T_H2 cell-induced pulmonary eosinophilia and airway hyper-reactivity (Li, X.M. *et al.*, *J. Immunol.*, 1996, 157:3116-3219). Vaccinia virus-mediated IL-12 gene transfer to the mouse airway abrogated airway eosinophilia and IgE synthesis (Hogan, S.P. *et al.*, *Eur. J. Immunol.*, 1998, 28:413-423). However, the direct effects of these cytokine plasmids as genetic adjuvants in the allergen vaccines used for AIT have not been addressed.

In a previous study, a combination of allergen and IFN-γ effectively redirected allergen-specific T-cell cytokine production toward elevated IFN-γ production in human PBMC cultures (Parronchi, P. et al., Eur J Immunol, 1996, 26:697-703). In a murine model of Kentucky blue grass (KBG) allergy, parenteral administration of 1 mg of recombinant allergen induced effective immune deviation (Cao, Y. et al., Immunology, 1997, 90:46-51). Cytokine gene transfer studies have been carried out (Li, X.M. et al., J. Immunol., 1996, 157:3116-3219; Hogan, S.P. et al., Eur. J. Immunol., 1998, 28:413-423).

It would be advantageous to have the capability to mediate T-cell differentiation toward a T_H1 -like phenotype using IFN- γ and/or IL-12, thereby enhancing the efficacy of allergen vaccines, without limitation by the short half-life of these molecules and the associated severe adverse effects.

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Brief Summary

The present invention pertains to methods and pharmaceutical compositions for modulating an immune response. The method of the present invention involves administration of an effective amount of nucleic acid molecules encoding interleukin-12 (IL-

12), interferon-gamma (IFN- γ), or a combination thereof, to a patient in need of such treatment. In one embodiment, the nucleic acid molecules encoding IL-12 and/or IFN- γ are co-administered with an antigen.

The present invention further concerns pharmaceutical compositions comprising a nucleic acid sequence encoding IL-12 and/or IFN- γ and a pharmaceutically acceptable carrier. Preferably, the composition contains a nucleic acid sequence encoding both IL-12 and IFN- γ , and a pharmaceutically acceptable carrier. Optionally, in addition to a nucleic acid sequence encoding IL-12 and/or IFN- γ , the pharmaceutical composition of the present invention contains an antigen.

In another aspect, the present invention concerns expression vectors containing a nucleotide sequence encoding IL-12 and IFN- γ , and an operably-linked promoter sequence. In another aspect, the present invention concerns cells genetically modified with a nucleotide sequence encoding IL-12 and IFN- γ .

Brief Description of Drawings

Figures 1A-1E show expression of murine IFN- γ and IL-12 p40 subunit in the mouse muscle. Mice were vaccinated as described in the Methods section. Seven days after the last DNA injection, expression for IFN- γ and IL-12 p40 subunit was checked by immunohistochemistry (Figure 1A-1D) and RT-PCR (Figure 1E). Mice vaccinated with pIFN- γ (Figure 1A) and pIL-12 (Figure 1C) showed expression of IFN- γ and IL-12 p40 subunits, respectively, as indicated by positive staining (arrows). Mice vaccinated with control empty vector did not show expression for IFN- γ (Figure 1B) and IL-12 p40 (Figure 1D). In Figure 1E, total RNA was isolated from the muscle, and RT-PCR was performed for IFN- γ and IL-12 p40 subunit. Mice vaccinated with pIFN- γ and pIL-12 showed IFN- γ (panel 1, lane 2) and IL-12 p40-specific mRNA amplification (panel 1, lane 4). No amplification was observed in the mice vaccinated with control empty vector (panel 1, lanes 1 and 3). β -actin was used as internal control (lower panel).

Figures 2A-2B show analysis of the total IgE and KBG-specific IgG subtypes. Four groups of mice (n = 6) were vaccinated as described in the Methods section. On day 21, after

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immunization with alum and KBG allergen, their serum was analyzed for total IgE (Figure 2B) and KBG-specific IgG2a and IgG1 (Figure 2A) antibodies by ELISA. Bars represent the means \pm SDs. *P < .05; **P < .01; ***P < .001 in comparison with pcDNA3.1 group. †P < .05; ††P < .01; †††P < .001 in comparison with pIFN- γ . †P < .05; ††P < .01; †††P < .001 in comparison with pIL-12 group.

Figures 3A-3C show analysis of cytokine production and dominant cytokine pattern following cytokine-encoding DNA vaccination. Figures 3A and 3B show analysis of cytokine production. Mice (n = 6) were vaccinated as described in the Methods section. On day 7 after KBG and alum immunization, their spleens were cultured *in vitro* for 48 hours in the presence of KBG allergen and cytokines were measured by ELISA. Bars represent the means \pm SDs. *P < .05; **P < .01; ***P < .001 in comparison with pcDNA3.1 group. †P < .05; ††P < .01; †††P < .001 in comparison with pIFN- γ (*IFN-g*) group. †P < .05; ††P < .01; †††P < .001 in comparison with pIL-12 (*IL-12*) group. Figure 3C shows analysis of the dominant cytokine pattern after cytokine DNA vaccination. Dominant cytokine pattern was determined from the IFN- γ /IL-4 and IL-2/IL-4 ratios. Bars represent means.

Figure 4 shows measurement of the airway hyperresponsiveness in KBG-sensitized and -challenged mice after cytokine DNA vaccination. Naive mice (n = 4) were vaccinated as described in the Methods section and sensitized with the allergen 7 days later. Ten days after the sensitization, animals were challenged intranasally 3 times with 50 μ g of KBG allergen. Airway reactivity to inhaled methacholine (6 to 50 mg/mL) was measured 24 hours later. Results are expressed as means \pm SDs of enhanced pause values. a, P < .05; aa, P < .01 in comparison with pcDNA3.1 group. b and c, P < .05 in comparison with pIFN- γ (IFN-g) and pIL-12 (IL-12) groups, respectively.

Figures 5A-5D show assessment of the lung inflammation in KBG-sensitized and -challenged mice after cytokine DNA vaccination. Lung tissue was removed from the different groups of mice (n = 4) 24 hours after the last intranasal allergen challenge and was stained with hematoxylin and eosin. A representative photomicrograph from each group is shown (Figure 5A: pcDNA3.1; Figure 5B: pIFN- γ , Figure 5C: pIL-12; Figure 5D: pIFN- γ + pIL-12). Arrows indicated cellular infiltration. a, airway; v, vessel.

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Brief Description of Sequences

SEQ ID NO:1 is a forward primer for the murine IL-12 p40 subunit.

SEQ ID NO:2 is a reverse primer for murine IL-12 p40 subunit.

5 SEQ ID NO:3 is a forward primer to generate the plasmid pc40.

SEQ ID NO:4 is a reverse primer to generate the plasmid pc40.

SEQ ID NO:5 is a forward primer for murine IL-12 p35 subunit.

SEQ ID NO:6 is a reverse primer for murine IL-12 p35 subunit.

SEQ ID NO:7 is the nucleotide sequence encoding the human IL-12 p35 subunit.

SEQ ID NO:8 is the amino acid sequence of the human IL-12 p35 subunit.

SEQ ID NO:9 is the nucleotide sequence of the human IL-12 p49 subunit.

SEQ ID NO:10 is the amino acid sequence of the human IL-12 p40 subunit.

SEQ ID NO:11 is the nucleotide sequence encoding human IFN- γ .

SEQ ID NO:12 is the amino acid sequence of human IFN- γ .

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Detailed Disclosure

The present invention pertains to adjuvantation using nucleic acid molecules encoding IL-12, IFN- γ , or both IL-12 and IFN- γ . In one embodiment, the nucleic acid molecules encoding IL-12 and/or IFN- γ are co-administered with an antigen of the pathogen in order to modulate an immune response to a pathogen (one or more) in a patient (human or non-human mammal). Preferably, effective amounts of nucleic acid sequences encoding both IL-12 and IFN- γ are administered simultaneously or sequentially to a patient in need of such treatment. More preferably, effective amounts of nucleic acid sequences encoding both IL-12 and IFN- γ are administered simultaneously or sequentially to a patient, and an antigen is administered simultaneously or sequentially to the patient.

As described herein, the "immune response" modulated in the patient can be, for example, a cytokine immune response and/or a humoral immune response (e.g., antigenspecific). As used herein, the term "modulate", and grammatical variations thereof, is intended to mean strengthening (i.e., augmenting) or weakening (i.e., lessening, inhibiting) of

an immune response within a patient. In one embodiment, the immune response of the patient is modulated such that production of IgE antibodies is inhibited. In further embodiments, the cytokine profiles of the patient's cells are altered to produce more T_H1 -like cytokines (e.g., interleukin-2 (IL-2) and IFN- γ) and less T_H2 -like cytokines ((interleukin-4) IL-4). The altered cytokine profile is more apparent in patients administered nucleic acid sequences encoding both IL-12 and IFN- γ . Depending upon the particular antigen that the patient is administered or otherwise exposed to (e.g., an allergen), the nucleic acid sequences encoding IL-12 and/or IFN- γ may inhibit or prevent airway hyper-responsiveness and inflammation.

Administration of nucleic acid molecules encoding IL-12 and/or IFN- γ , or biologically active fragments or homologs thereof, according to the subject invention, may be used to modulate the immune response in order to prevent or treat immune-related or inflammatory-related conditions such as, but not limited to, allergies, allergic rhinitis, atopic dermatitis, asthma, allergic sinusitis, pulmonary fibrosis, and cancer.

In other embodiments, the nucleic acid molecules used in the methods, vectors, cells, and compositions of the present invention encode IL-12-like molecules and/or IFN- γ -like molecules. As used herein, the terms "IL-12-like molecule" and "IFN- γ -like molecule" refers to polypeptides exhibiting IL-12-like activity and IFN- γ -like activity, respectively, when the nucleic acid molecule encoding the polypeptide is expressed, as can be determined in vitro or in vivo. For purposes of the subject invention, IL-12-like activity and IFN- γ -like activity refer to those polypeptides having one or more of the functions of the native IL-12 or IFN- γ cytokine, such as the capability to alter the cytokine profile of a patient's cells to produce more T_H1 -like cytokines (e.g., interleukin-2 (IL-2) and IFN- γ) and less T_H2 -like cytokines ((interleukin-4 (IL-4)). An example of an IL-12-like molecule is interleukin-23 (IL-23). Examples of IFN- γ -like molecules are interferon-alpha (IFN- α) and interferon-beta (IFN- β), including biologically active fragments thereof.

Following administration, the efficacy of the cytokine-encoding nucleic acid sequences can be assessed by specific cytokine production or antibody production, for

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example. One of ordinary skill in the art can assess these parameters using conventional methods.

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A used herein, the term "antigen" is intended to mean one or more immunostimulatory agents capable of being bound by a selective binding agent, such as an antibody, and additionally capable of being used in an animal to produce antibodies capable of binding to an epitope of that antigen. An antigen may have one or more epitopes. Antigens can be molecules or portions of molecules including, but not limited to, proteins or fragments thereof (e.g., proteolytic fragments), peptides (e.g., synthetic peptides, polypeptides), glycoproteins, carbohydrates (e.g., polysaccharides), lipids, glycolipids, hapten conjugates, recombinant nucleotides (e.g., recombinant DNA), whole organisms (killed or attenuated) or portions thereof, toxins and toxoids (e.g., tetanus, diphtheria, cholera) and/or organic molecules. Particular examples of antigens for use in the present invention include allergens, such as Kentucky blue grass (KBG) allergen.

The antigen can be obtained or derived from a variety of pathogens or organisms, such as bacteria (e.g., bacillus, Group B streptococcus, Bordetella, Listeria, Bacillus anthracis, S. pneumoniae, N. meningiditis, H. influenza), viruses (e.g., hepatitis, measles, poliovirus, human immunodeficiency virus, influenza virus, parainfluenza virus, respiratory syncytial virus), mycobacteria (M. tuberculosis), parasites (Leishmania, Schistosomes, Tranpanosomes, toxoplasma, pneumocystis) and fungi (e.g., Candida, Cryptococcus, Coccidiodes, Aspergillus), against which an immune response is desired in a patient. The antigen of a pathogen can be obtained using skills known in the art. For example, the antigen can be isolated (purified, essentially pure) directly from the pathogen, derived using chemical synthesis, or obtained using recombinant methodology. In addition, the antigen can be obtained from commercial sources. A suitable antigen for use in the present invention can include at least one B and/or T cell epitope (e.g., T helper cell or cytolytic T cell epitope). Other suitable antigens useful in the compositions of the present invention can be determined by those of skill in the art.

The IL-12-encoding nucleic acid sequence and IFN-γ-encoding nucleic acid sequence can be within the same nucleic acid molecule or separate nucleic acid molecules.

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Furthermore, it will be understood that a nucleic acid sequence can be a multimer comprising repeating units of the IL-12-encoding nucleic acid sequence and/or IFN- γ -encoding nucleic acid sequence (*i.e.*, homopolymers or heteropolymers) for enhanced expression of the IL-12 and/or IFN- γ coding sequences, or biologically active fragments or homologs thereof.

As used herein, the term "co-administer", and grammatical variations thereof, is intended to mean administration of agents (e.g., nucleic acids, antigens, etc.) simultaneously or sequentially.

The nucleic acid sequences encoding IL-12 and/or IFN-γ used in the methods, expression vectors, and pharmaceutical compositions of the present invention are preferably isolated. According to the present invention, an isolated nucleic acid molecule or nucleic acid sequence is a nucleic acid molecule or sequence that has been removed from its natural milieu. As such, "isolated" does not necessarily reflect the extent to which the nucleic acid molecule has been purified. An isolated nucleic acid molecule or sequence useful in the present composition can include DNA, RNA, or any derivatives of either DNA or RNA. An isolated nucleic acid molecule or sequence can be double stranded (*i.e.*, containing both a coding strand and a complementary strand) or single stranded.

Although the phrase "nucleic acid molecule" primarily refers to the physical nucleic acid molecule and the phrase "nucleic acid sequence" primarily refers to the sequence of nucleotides on the nucleic acid molecule, the two phrases are used interchangeably herein. As used herein, a "coding" nucleic acid sequence refers to a nucleic acid sequence that encodes at least a portion of a peptide or protein (e.g., a portion of an open reading frame), and can more particularly refer to a nucleic acid sequence encoding a peptide or protein which, when operably-linked to a transcription control sequence (e.g., a promoter sequence), can express the peptide or protein. A translation initiation codon can be inserted as necessary, making methionine the first amino acid in the sequence. Optionally, the IL-12 and/or IFN- γ encoding nucleic acid sequences used in the subject invention include a sequence encoding a signal peptide upstream of the cytokine(s)-encoding sequence(s), thereby permitting secretion of the IL-12 and/or IFN- γ , or a biologically active fragment thereof, from a host cell.

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The term "operably-linked" is used herein to refer to an arrangement of flanking sequences wherein the flanking sequences so described are configured or assembled so as to perform their usual function. Thus, a flanking sequence operably-linked to a coding sequence may be capable of effecting the replication, transcription and/or translation of the coding sequence. For example, a coding sequence is operably-linked to a promoter when the promoter is capable of directing transcription of that coding sequence. A flanking sequence need not be contiguous with the coding sequence, so long as it functions correctly. Thus, for example, intervening untranslated yet transcribed sequences can be present between a promoter sequence and the coding sequence, and the promoter sequence can still be considered "operably-linked" to the coding sequence. Each nucleotide sequence coding for IL-12 or IFN-γ will typically have its own operably-linked promoter sequence.

The term "vector" is used to refer to any molecule (e.g., nucleic acid, plasmid, or virus) used to transfer coding information (e.g., nucleic acid sequence encoding IL-12 and/or IFN- γ) to a host cell. The term "expression vector" refers to a vector that is suitable for use in a host cell (e.g., patient's cell) and contains nucleic acid sequences which direct and/or control the expression of heterologous nucleic acid sequences. Expression includes, but is not limited to, processes such as transcription, translation, and RNA splicing, if introns are present. A great variety of expression vectors can be used to produce IL-12 and/or IFN- γ , or biologically active fragments thereof. IL-12 and/or IFN- γ -encoding nucleic acid sequences can be modified according to methods known in the art to provide optimal codon usage for expression in a particular expression system.

In another aspect, the present invention includes pharmaceutical compositions comprising a nucleic acid sequence encoding IL-12 and/or IFN- γ and a pharmaceutically acceptable carrier. Preferably, the composition contains a nucleic acid sequence encoding both IL-12 and IFN- γ , and a pharmaceutically acceptable carrier. The nucleic acid sequence encoding IL-12 and/or IFN- γ may be contained within an expression vector, such as a DNA plasmid or viral vector (e.g., retrovirus, modified herpes virus, herpes virus, adenovirus, adeno-associated virus, and the like). Where a combination of nucleic acid sequences encoding IL-12 and IFN- γ are to be administered to a patient, sequences may be contained

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within one vector (e.g., a DNA plasmid) or separate vectors (e.g., separate plasmids), or types of vectors. Optionally, the pharmaceutical composition of the present invention further includes an antigen.

The pharmaceutical compositions of the subject invention can be formulated according to known methods for preparing pharmaceutically useful compositions. Furthermore, as used herein, the phrase "pharmaceutically acceptable carrier" means any of the standard pharmaceutically acceptable carriers. The pharmaceutically acceptable carrier can include diluents, adjuvants, and vehicles, as well as implant carriers, and inert, non-toxic solid or liquid fillers, diluents, or encapsulating material that does not react with the active ingredients of the invention. Examples include, but are not limited to, phosphate buffered saline, physiological saline, water, and emulsions, such as oil/water emulsions. The carrier can be a solvent or dispersing medium containing, for example, ethanol, polyol (for example, glycerol, propylene glycol, liquid polyethylene glycol, and the like), suitable mixtures thereof, and vegetable oils. Formulations are described in a number of sources that are well known and readily available to those skilled in the art. For example, Remington's Pharmaceutical Sciences (Martin EW [1995] Easton Pennsylavania, Mack Publishing Company, 19th ed.) describes formulations which can be used in connection with the subject invention. Formulations suitable for parenteral administration include, for example, aqueous sterile injection solutions, which may contain antioxidants, buffers, bacteriostats, and solutes which render the formulation isotonic with the blood of the intended recipient; and aqueous and nonaqueous sterile suspensions which may include suspending agents and thickening agents. The formulations may be presented in unit-dose or multi-dose containers, for example sealed ampoules and vials, and may be stored in a freeze dried (lyophilized) condition requiring only the condition of the sterile liquid carrier, for example, water for injections, prior to use. Extemporaneous injection solutions and suspensions may be prepared from sterile powder, granules, tablets, etc. It should be understood that in addition to the ingredients particularly mentioned above, the formulations of the subject invention can include other agents conventional in the art having regard to the type of formulation in The pharmaceutical composition can be adapted for various forms of question.

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administration. Administration can be continuous or at distinct intervals as can be determined by a person skilled in the art.

The administration of the IL-12 and/or IFN-γ-encoding nucleic acid sequences are administered and dosed in accordance with good medical practice, taking into account the clinical condition of the individual patient, the site and method of administration, scheduling of administration, patient age, sex, body weight, and other factors known to medical practitioners. The pharmaceutically "effective amount" for purposes herein is thus determined by such considerations as are known in the art. A therapeutically effective amount of IL-12 and/or IFN-\gamma-encoding nucleic acid molecules is that amount necessary to provide a therapeutically effective amount of the corresponding polypeptide(s), when expressed in vivo. The amount of IL-12 and/or IFN- γ must be effective to achieve a modulated immune response, including but not limited to total prevention of (e.g., protection against) pathogen infection and/or allergic response, and to improved survival rate or more rapid recovery, or improvement or elimination of symptoms associated with pathogen infection or allergic response, and other indicators as are selected as appropriate measures by those skilled in the art. In accordance with the present invention, a suitable single dose size is a dose that is capable of preventing or alleviating (reducing or eliminating) a symptom in a patient when administered one or more times over a suitable time period. One of skill in the art can readily determine appropriate single dose sizes for systemic administration based on the size of a mammal and the route of administration.

Mammalian species which benefit from the disclosed compositions and methods include, and are not limited to, apes, chimpanzees, orangutans, humans, monkeys; domesticated animals (e.g., pets) such as dogs, cats, guinea pigs, hamsters, Vietnamese potbellied pigs, rabbits, and ferrets; domesticated farm animals such as cows, buffalo, bison, horses, donkey, swine, sheep, and goats; exotic animals typically found in zoos, such as bear, lions, tigers, panthers, elephants, hippopotamus, rhinoceros, giraffes, antelopes, sloth, gazelles, zebras, wildebeests, prairie dogs, koala bears, kangaroo, opossums, raccoons, pandas, hyena, seals, sea lions, elephant seals, otters, porpoises, dolphins, and whales. As used herein, the term "patient" is intended to include such human and non-human

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mammalian species. Nucleic acid molecules encoding IL-12 and/or IFN- γ , or biologically active fragments thereof, can be administered to patients of the same species or different species from which the nucleic acid molecules naturally exist, for example.

The nucleic acid sequences encoding IL-12 and/or IFN- γ (and pharmaceutical compositions containing them) can be administered to a patient by any route that results in modulated immune response. For example, the genetic material can be administered intravenously (I.V.), intramuscularly (I.M.), subcutaneously (S.C.), intradermally (I.D.), orally, intranasally, etc.

Examples of intranasal administration can be by means of a spray, drops, powder or gel and also described in U.S. Patent No. 6,489,306, which is incorporated herein by reference in its entirety. One embodiment of the present invention is the administration of the invention as a nasal spray. Alternate embodiments include administration through any oral or mucosal routes, sublingual administration, and even eye drops. However, other means of drug administrations are well within the scope of the present invention.

The present invention also encompasses combination therapy. By combination therapy is meant that nucleic acid sequences encoding IL-12 and/or IFN- γ can be administered in combination with other biologically active agents, such as antigens, other immunomodulators or immunostimulatory molecules, such as interferons or interleukens, and antimicrobial agents, such as antibiotics, antifungal drugs, antiviral drugs, etc.

The present invention can be conjugated with chitosan or chitosan derivatives. For example, DNA chitosan nanospheres can be generated, as described by Roy, K. et al. (Nat Med, 1999, 5:387). Chitosan allows increased bioavailability of the nucleic acid sequences because of protection from degradation by serum nucleases in the matrix and thus has great potential as a mucosal gene delivery system. Chitosan also has many beneficial effects, including anticoagulant activity, wound-healing properties, and immunostimulatory activity, and is capable of modulating immunity of the mucosa and bronchus-associated lymphoid tissue. In one embodiment of the present invention, chitosan derived nanoparticles are used as adjuvants or conjugates.

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IL-12 is a heterodimeric cytokine that has a molecular weight of 75 kDa and is composed of disulfide-bonded 40 kDa and 35 kDa subunits. As used herein, "interleukin-12" and "IL-12" refer to interleukin 12 protein, its individual subunits, multimers of its individual subunits, biologically active fragments of IL-12, and biologically active homologs of "interleukin-12" and "IL-12", such as mammalian homologs. As defined herein, biologically active fragments of IL-12 are fragments that, for example, modulate an immune response to an antigen in a patient who has been administered or otherwise exposed to the antigen. As also defined herein, biologically active fragments or homologs of "interleukin-12" and "IL-12" include modified IL-12 protein such that the resulting IL-12 product has immune response modulation activity similar to the IL-12 described herein (e.g., the ability to modulate an immune response to an antigen, when administered with the antigen, in a patient, relative to in vivo conditions in the absence of the cytokine or relative to administration of the antigen alone). Biologically active homologs or fragments of "interleukin-12" also include nucleic acid sequences (e.g., DNA, RNA) and portions thereof, which encode a protein or peptide having the IL-12 function or activity described herein (e.g., the ability to modulate an immune response to an antigen, when administered with the antigen, in a patient). In addition, the term includes a nucleotide sequence which, through the degeneracy of the genetic code, encodes a similar peptide gene product as IL-12 and has the IL-12 activity described herein. For example, a homolog of "interleukin-12" and "IL-12" includes a nucleotide sequence which contains a "silent" codon substitution (e.g., substitution of one codon encoding an amino acid for another codon encoding the same amino acid) or an amino acid sequence which contains a "silent" amino acid substitution (e.g., substitution of one acidic amino acid for another acidic amino acid).

An exemplified nucleotide sequence encodes the human IL-12 p35 subunit (Accession No: NM_000882, NCBI database, which is hereby incorporated by reference in its entirety):

- 1 tttcattttg ggccgagctg gaggcggcgg ggccgtcccg gaacggctgc ggccgggcac
- 61 cccgggagtt aatccgaaag cgccgcaagc cccgcgggcc ggccgcaccg cacgtgtcac
- 121 cgagaagctg atgtagagag agacacagaa ggagacagaa agcaagagac cagagtcccg
- 181 ggaaagteet geegegeete gggacaatta taaaaatgtg geeceetggg teageeteee

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241 agccacegee etcacetgee geggeeaeag gtetgeatee ageggetege eetgtgteee

- 301 tgcagtgccg gctcagcatg tgtccagcgc gcagcctcct ccttgtggct accctggtcc
- 361 teetggacca ceteagtttg gecagaaace teecegtgge caetecagae eeaggaatgt
- 421 teccatgeet teaceactee caaaacetge tgagggeegt cageaacatg etecagaagg
- 5 481 ccagacaaac tetagaattt taccettgca ettetgaaga gattgateat gaagatatea
 - 541 caaaagataa aaccagcaca gtggaggcct gtttaccatt ggaattaacc aagaatgaga
 - 601 gttgcctaaa ttccagagag acctetttca taactaatgg gagttgcctg gcctccagaa
 - 661 agacetettt tatgatggee etgtgeetta gtagtattta tgaagaettg aagatgtace
 - 721 aggtggagtt caagaccatg aatgcaaagc ttctgatgga tcctaagagg cagatctttc
- 10 781 tagatcaaaa catgctggca gttattgatg agctgatgca ggccctgaat ttcaacagtg
 - 841 agactgtgcc acaaaaatcc tcccttgaag aaccggattt ttataaaact aaaatcaagc
 - 901 tetgeataet tetteatget tteagaatte gggeagtgae tattgataga gtgatgaget
 - 961 atctgaatgc ttcctaaaaa gegaggtccc tccaaaccgt tgtcattttt ataaaacttt
 - 1021 gaaatgagga aactttgata ggatgtggat taagaactag ggagggggaa agaaggatgg
- 15 1081 gactattaca tecacatgat acetetgate aagtattttt gacatttact gtggataaat
 - 1141 tgtttttaag ttttcatgaa tgaattgcta agaagggaaa atatccatcc tgaaggtgtt
 - 1201 tttcattcac tttaatagaa gggcaaatat ttataagcta tttctgtacc aaagtgtttg
 - 1261 tggaaacaaa catgtaagca taacttattt taaaatattt atttatataa cttggtaatc
 - 1321 atgaaagcat etgagetaac ttatatttat ttatgttata tttattaaat tatttatcaa
- 20 1381 gtgtatttga aaaatatttt taagtgttct aaaaataaaa gtattgaatt aaagtgaaaa
 - 1441 aaaa (SEQ ID NO:7)

MWPPGSASQPPPSPAAATGLHPAARPVSLQCRLSMCPARSLLLVATLVLLDHLSLAR NLPVATPDPGMFPCLHHSQNLLRAVSNMLQKARQTLEFYPCTSEEIDHEDITKDKTS
TVEACLPLELTKNESCLNSRETSFITNGSCLASRKTSFMMALCLSSIYEDLKMYQVEF KTMNAKLLMDPKRQIFLDQNMLAVIDELMQALNFNSETVPQKSSLEEPDFYKTKIKL CILLHAFRIRAVTIDRVMSYLNAS (SEQ ID NO:8)

A further exemplified nucleotide sequence encodes the human IL-12 p40 subunit (Accession No: NM_002187, NCBI database, which is hereby incorporated by reference in its entirety):

- 1 ctgtttcagg gccattggac tctccgtcct gcccagagca agatgtgtca ccagcagttg
- 61 gtcatctctt ggttttccct ggtttttctg gcatctcccc tcgtggccat atgggaactg
- 35 121 aagaaagatg tttatgtcgt agaattggat tggtatccgg atgcccctgg agaaatggtg
 - 181 gtcctcacct gtgacacccc tgaagaagat ggtatcacct ggaccttgga ccagagcagt
 - 241 gaggtettag getetggeaa aaccetgace atceaagtea aagagtttgg agatgetgge
 - 301 cagtacacct gtcacaaagg aggcgaggtt ctaagccatt cgctcctgct gcttcacaaa
 - 361 aaggaagatg gaatttggtc cactgatatt ttaaaggacc agaaagaacc caaaaataag
- 40 421 acctttctaa gatgcgaggc caagaattat tctggacgtt tcacctgctg gtggctgacg
 - 481 acaatcagta ctgatttgac attcagtgtc aaaagcagca gaggctcttc tgacccccaa
 - 541 ggggtgacgt gcggagctgc tacactctct gcagagagag tcagagggga caacaaggag

601 tatgagtact cagtggagtg ccaggaggac agtgcctgcc cagctgctga ggagagtctg 661 cccattgagg tcatggtgga tgccgttcac aagctcaagt atgaaaacta caccagcagc 721 ttcttcatca gggacatcat caaacctgac ccacccaaga acttgcagct gaagccatta 781 aagaattete ggeaggtgga ggteagetgg gagtaecetg acacetggag tactecacat 5 901 gatagagtet teaeggacaa gaceteagee aeggteatet geegeaaaaa tgeeageatt 961 agegtgeggg eccaggaceg etactatage teatettgga gegaatggge atetgtgece 1021 tgcagttagg ttctgatcca ggatgaaaat ttggaggaaa agtggaagat attaagcaaa 1081 atgtttaaag acacaacgga atagacccaa aaagataatt tctatctgat ttgctttaaa 10 1141 acgttttttt aggatcacaa tgatatettt getgtatttg tatagttaga tgetaaatge 1201 tcattgaaac aatcagctaa tttatgtata gattttccag ctctcaagtt gccatgggcc 1261 ttcatgctat ttaaatattt aagtaattta tgtatttatt agtatattac tgttatttaa 1321 cgtttgtctg ccaggatgta tggaatgttt catactctta tgacctgatc catcaggatc 1381 agtecetatt atgeaaaatg tgaatttaat tttatttgta etgacaaett tteaageaag 15 1441 getgeaagta cateagtttt atgacaatea ggaagaatge agtgttetga taceagtgee 1501 atcatacact tgtgatggat gggaacgcaa gagatactta catggaaacc tgacaatgca 1561 aacctgttga gaagatccag gagaacaaga tgctagttcc catgtctgtg aagacttcct 1621 ggagatggtg ttgataaagc aatttagggc cacttacact tctaagcaag tttaatcttt 1681 ggatgcctga attttaaaag ggctagaaaa aaatgattga ccagcctggg aaacataaca 20 1741 agaccccgtc tctacaaaaa aaatttaaaa ttagccaggc gtggtggctc atgcttgtgg 1801 tcccagctgt tcaggaggat gaggcaggag gatctcttga gcccaggagg tcaaggctat 1861 ggtgagccgt gattgtgcca ctgcatacca gcctaggtga cagaatgaga ccctgtctca 1921 aaaaaaaaa tgattgaaat taaaattcag ctttagcttc catggcagtc ctcacccca 1981 cctctctaaa agacacagga ggatgacaca gaaacaccgt aagtgtctgg aaggcaaaaa 25 2041 gatettaaga tteaagagag aggacaagta gttatggeta aggacatgaa attgteagaa 2101 tggcaggtgg cttcttaaca gccctgtgag aagcagacag atgcaaagaa aatctggaat 2161 ccctttctca ttagcatgaa tgaacctgat acacaattat gaccagaaaa tatggctcca 2221 tgaaggtget acttttaagt aatgtatgtg egetetgtaa agtgattaca tttgttteet 2281 gtttgtttat ttatttattt atttttgcat tctgaggctg aactaataaa aactcttctt 30 2341 tgtaatc (SEQ ID NO:9)

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MCHQQLVISWFSLVFLASPLVAIWELKKDVYVVELDWYPDAPGEMVVLTCDTPEED GITWTLDQSSEVLGSGKTLTIQVKEFGDAGQYTCHKGGEVLSHSLLLLHKKEDGIWS TDILKDQKEPKNKTFLRCEAKNYSGRFTCWWLTTISTDLTFSVKSSRGSSDPQGVTC GAATLSAERVRGDNKEYEYSVECQEDSACPAAEESLPIEVMVDAVHKLKYENYTSS FFIRDIIKPDPPKNLQLKPLKNSRQVEVSWEYPDTWSTPHSYFSLTFCVQVQGKSKRE KKDRVFTDKTSATVICRKNASISVRAQDRYYSSSWSEWASVPCS (SEQ ID NO:10)

IFN-γ is a 14-18 kDalton 143 amino acid glycosylated protein that is a potent multifunctional cytokine. As used herein, "interferon-gamma" and "IFN-γ" refer to IFN-γ protein, biologically active fragments of IFN-γ, and biologically active homologs of J:\USF\182XC1\Application\app.doc/DNB/mv

"interferon-gamma" and "IFN- γ ", such as mammalian homologs. As defined herein, biologically active fragments of IFN-γ are fragments that, for example, modulate an immune response to an antigen in a patient who has been administered or otherwise exposed to the antigen. As also defined herein, biologically active fragments or homologs of "interferongamma" and "IFN- γ " include modified IFN- γ protein such that the resulting IFN- γ product has immune response modulating activity similar to the IFN-γ described herein (e.g., the ability to modulate an immune response to an antigen, when administered with the antigen, in a patient, relative to in vivo conditions in the absence of the cytokine or relative to administration of the antigen alone). Biologically active homologs or fragments of "interferon-gamma" also include nucleic acid sequences (e.g., DNA, RNA) and portions thereof, which encode a protein or peptide having the IFN- γ function or activity described herein (e.g., the ability to modulate an immune response to an antigen in a patient that has been administered or otherwise exposed to the antigen). In addition, the term includes a nucleotide sequence which through the degeneracy of the genetic code encodes a similar peptide gene product as IFN- γ and has the IFN- γ activity described herein. For example, a homolog of "interferon-gamma" and "IFN-\gamma" includes a nucleotide sequence which contains a "silent" codon substitution (e.g., substitution of one codon encoding an amino acid for another codon encoding the same amino acid) or an amino acid sequence which contains a "silent" amino acid substitution (e.g., substitution of one acidic amino acid for another acidic amino acid).

An exemplified nucleotide sequence encodes human IFN- γ (Accession No: NM 000639, NCBI database, which is hereby incorporated by reference in its entirety):

- 1 tgaagatcag ctattagaag agaaagatca gttaagtcct ttggacctga tcagcttgat
- 61 acaagaacta ctgatttcaa cttctttggc ttaattctct cggaaacgat gaaatataca
- 121 agttatatet tggettttea getetgeate gttttgggtt etettggetg ttaetgeeag
- 181 gacccatatg taaaagaagc agaaaacctt aagaaatatt ttaatgcagg tcattcagat
- 241 gtagcggata atggaactet tttettagge attttgaaga attggaaaga ggagagtgae
- 301 agaaaaataa tgcagagcca aattgtctcc ttttacttca aactttttaa aaactttaaa
- 361 gatgaccaga gcatccaaaa gagtgtggag accatcaagg aagacatgaa tgtcaagttt
- 421 ttcaatagca acaaaaagaa acgagatgac ttcgaaaagc tgactaatta ttcggtaact
- 481 gacttgaatg tecaaegeaa ageaatacat gaacteatee aagtgatgge tgaaetgteg

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- 541 ccagcagcta aaacagggaa gcgaaaaagg agtcagatgc tgtttcaagg tcgaagagca
- 601 teccagtaat ggttgteetg eetgeaatat ttgaatttta aatetaaate tatttattaa
- 661 tatttaacat tatttatatg gggaatatat ttttagactc atcaatcaaa taagtattta
- 721 taatagcaac ttttgtgtaa tgaaaatgaa tatctattaa tatatgtatt atttataatt
- 781 cetatatect gtgactgtet caettaatee tttgttttet gaetaattag geaaggetat
 - 841 gtgattacaa ggctttatct caggggccaa ctaggcagcc aacctaagca agatcccatg
 - 901 ggttgtgtgt ttatttcact tgatgataca atgaacactt ataagtgaag tgatactatc
 - 961 cagttactgc cggtttgaaa atatgcctgc aatctgagcc agtgctttaa tggcatgtca
 - 1021 gacagaactt gaatgtgtca ggtgaccctg atgaaaacat agcatctcag gagatttcat
- 10 1081 gcctggtgct tccaaatatt gttgacaact gtgactgtac ccaaatggaa agtaactcat
 - 1141 ttgttaaaat tatcaatatc taatatatat gaataaagtg taagttcaca act (SEQ ID NO:11)

MKYTSYILAFQLCIVLGSLGCYCQDPYVKEAENLKKYFNAGHSDVADNGTLFLGILK NWKEESDRKIMQSQIVSFYFKLFKNFKDDQSIQKSVETIKEDMNVKFFNSNKKKRDD FEKLTNYSVTDLNVQRKAIHELIQVMAELSPAAKTGKRKRSQMLFQ GRRASQ (SEQ ID NO:12)

The nucleotide sequences encoding IL-12 and/or IFN-γ used in the subject invention include "homologous" or "modified" nucleotide sequences. Modified nucleic acid sequences will be understood to mean any nucleotide sequence obtained by mutagenesis according to techniques well known to persons skilled in the art, and exhibiting modifications in relation to the normal sequences. For example, mutations in the regulatory and/or promoter sequences for the expression of a polypeptide that result in a modification of the level of expression of a polypeptide according to the invention provide for a "modified nucleotide sequence". Likewise, substitutions, deletions, or additions of nucleic acids to the polynucleotides of the invention provide for "homologous" or "modified" nucleotide sequences. In various embodiments, "homologous" or "modified" nucleic acid sequences have substantially the same biological or serological activity as the native (naturally occurring) IL-12 and/or IFN-γ peptide. A "homologous" or "modified" nucleotide sequence will also be understood to mean a splice variant of the polynucleotides of the instant invention or any nucleotide sequence encoding a "modified polypeptide" as defined below.

A homologous nucleotide sequence, for the purposes of the present invention, encompasses a nucleotide sequence having a percentage identity with the bases of the nucleotide sequences of between at least (or at least about) 20.00% to 99.99% (inclusive).

The aforementioned range of percent identity is to be taken as including, and providing written description and support for, any fractional percentage, in intervals of 0.01%, between 20.00% and 99.99%. These percentages are purely statistical and differences between two nucleic acid sequences can be distributed randomly and over the entire sequence length.

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In various embodiments, homologous sequences exhibiting a percentage identity with the bases of the nucleotide sequences of the present invention can have 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, or 99 percent identity with the polynucleotide sequences of the instant invention. Homologous nucleic acid sequences and amino acid sequences include mammalian homologs of the human IL-12 and/or IFN- γ nucleic acid sequences and amino acid sequences, including homologs of biologically active fragments, such as biologically active subunits.

Both protein and nucleic acid sequence homologies may be evaluated using any of the variety of sequence comparison algorithms and programs known in the art. Such algorithms and programs include, but are by no means limited to, TBLASTN, BLASTP, FASTA, TFASTA, and CLUSTALW (Pearson and Lipman *Proc. Natl. Acad. Sci. USA*, 1988, 85(8):2444-2448; Altschul *et al. J. Mol. Biol.*, 1990, 215(3):403-410; Thompson *et al. Nucleic Acids Res.*, 1994, 22(2):4673-4680; Higgins *et al. Methods Enzymol.*, 1996, 266:383-402; Altschul *et al. J. Mol. Biol.*, 1990, 215(3):403-410; Altschul *et al. Nature Genetics*, 1993, 3:266-272).

Identity and similarity of related nucleic acid molecules and polypeptides can be readily calculated by known methods. Such methods include, but are not limited to, those described in Computational Molecular Biology, Lesk, A. M., ed., Oxford University Press, New York, 1988; York (1988); Biocomputing: Informatics and Genome Projects, Smith, D. W., ed., Academic Press, New York, 1993; York (1993); Computer Analysis of Sequence Data, Part 1, Griffin, A. M., and Griffin, H. G., eds., Humana Press, New Jersey, 1994; Jersey (1994); Sequence Analysis in Molecular Biology, von Heinje, G., Academic Press, 1987; Press (1987); Sequence Analysis Primer, Gribskov, M. and Devereux, J., eds., M. Stockton

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Press, New York, 1991; York (1991); and Carillo et al., SIAM J. Applied Math., 48:1073 (1988).

The methods, pharmaceutical compositions, and vectors of the present invention can utilize biologically active fragments of nucleic acid sequences encoding IL-12 and/or IFN- γ . Representative fragments of the polynucleotide sequences according to the invention will be understood to mean any polynucleotide fragment having at least 8 or 9 consecutive nucleotides, preferably at least 12 consecutive nucleotides, and still more preferably at least 15 or at least 20 consecutive nucleotides of the sequence from which it is derived. The upper limit for such fragments is the total number of nucleotides found in the full-length sequence (or, in certain embodiments, of the full length open reading frame (ORF) identified herein).

In other embodiments, fragments can comprise consecutive nucleotides of 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, and up to one nucleotide less than the full-length IL-12 and/or IFN- γ coding sequences. In some embodiments, fragments comprise biologically active subunits of IL-12 and/or IFN- γ (e.g., p35 and/or p40 subunit of IL-12), or biologically active fragments of such subunits.

It is also well known in the art that restriction enzymes can be used to obtain biologically active fragments of the nucleic acid sequences, such as those encoding IL-12 and/or IFN-γ. For example, *Bal*31 exonuclease can be conveniently used for time-controlled limited digestion of DNA (commonly referred to as "erase-a-base" procedures). See, for example, Maniatis *et al.* [1982] *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory, New York; Wei *et al.* [1983] *J. Biol. Chem.* 258:13006-13512.

The methods of the subject invention also contemplate the administration of cells that have been genetically modified to produce both IL-12 and IFN- γ , or biologically active fragments thereof. Such genetically modified cells can be administered alone or in

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combinations with different types of cells. Thus, genetically modified cells of the invention can be co-administered with other cells, which can include genetically modified cells or non-genetically modified cells. Genetically modified cells may serve to support the survival and function of the co-administered cells, for example.

The term "genetic modification" as used herein refers to the stable or transient alteration of the genotype of a cell of the subject invention by intentional introduction of exogenous nucleic acids by any means known in the art (including for example, direct transmission of a polynucleotide sequence from a cell or virus particle, transmission of infective virus particles, and transmission by any known polynucleotide-bearing substance) resulting in a permanent or temporary alteration of genotype. The nucleic acids may be synthetic, or naturally derived, and may contain genes, portions of genes, or other useful polynucleotides in addition to those encoding IL-12 and IFN-γ, or biologically active fragments thereof. A translation initiation codon can be inserted as necessary, making methionine the first amino acid in the sequence. The term "genetic modification" is not intended to include naturally occurring alterations such as that which occurs through natural viral activity, natural genetic recombination, or the like. The genetic modification may confer the ability to produce IL-12 and IFN-γ, or biologically active fragments thereof, wherein the cell did not previously have the capability, or the modification may increase the amount of IL-12 and IFN-γ produced by the cell, e.g., through increased expression.

Exogenous nucleic acids and/or vectors encoding IL-12 and/or IFN-γ, or biologically active fragments thereof, can be introduced into a cell by viral vectors (retrovirus, modified herpes virus, herpes virus, adenovirus, adeno-associated virus, and the like) or direct DNA transfection (lipofection, calcium phosphate transfection, DEAE-dextran, electroporation, and the like), microinjection, cationic lipid-mediated transfection, transduction, scrape loading, ballistic introduction and infection (see, for example, Sambrook *et al.* [1989] *Molecular Cloning: A Laboratory Manual*, 2nd Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.).

Preferably, the exogenous nucleic acid sequence encoding IL-12 and/or IFN- γ , or biologically active fragments thereof, is operably linked to a promoter sequence that permits

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expression of the nucleic acid sequence in a desired tissue within the patient. The promoters can be inducible or tissue specific as necessary.

The genetically modified cell may be chosen from eukaryotic or prokaryotic systems, for example bacterial cells (Gram negative or Gram positive), yeast cells, animal cells, plant cells, and/or insect cells using baculovirus vectors. In some embodiments, the genetically modified cell for expression of the nucleic acid sequences encoding IL-12 and/or IFN- γ , or biologically active fragments thereof, are human or non-human mammal cells.

Standard molecular biology techniques known in the art and not specifically described were generally followed as in Sambrook *et al.*, Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory Press, New York (1989), and in Ausubel *et al.*, Current Protocols in Molecular Biology, John Wiley and Sons, Baltimore, Md. (1989) and in Perbal, A Practical Guide to Molecular Cloning, John Wiley & Sons, New York (1988), and in Watson *et al.*, Recombinant DNA, Scientific American Books, New York and in Birren *et al.* (eds) Genome Analysis: A Laboratory Manual Series, Vols. 1-4 Cold Spring Harbor Laboratory Press, New York (1998) and methodology as set forth in U.S. Pat. Nos. 4,666,828; 4,683,202; 4,801,531; 5,192,659; and 5,272,057; and incorporated herein by reference. Polymerase chain reaction (PCR) was carried out generally as in PCR Protocols: A Guide To Methods And Applications, Academic Press, San Diego, Calif. (1990). *In situ* (In-cell) PCR in combination with Flow Cytometry can be used for detection of cells containing specific DNA and mRNA sequences (Testoni *et al.*, *Blood*, 1996, 87:3822.)

Materials and Methods

Animals. Female B6D2F1 mice, 6 to 8 weeks old, from Jackson Laboratory (Bar Harbor, Me) were maintained in pathogen-free conditions at the animal center at the James A. Haley Veterans Hospital. All procedures were reviewed and approved by the committee on animal research at the James A. Haley VA Medical Center and the University of South Florida College of Medicine.

<u>Vaccination protocol</u>. Four groups of naive mice (n = 12) were intramuscularly vaccinated 3 times at intervals of 2 days, each in its right quadriceps muscle, with 100 μ g of

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pIFN- γ , 100 μ g of pIL-12, or a mixture of pIL-12 and pIFN- γ (50 μ g of each), along with subcutaneous injection of 50 μ g per mouse of crude KBG extract given at the back of the animal. Control mice each received 100 μ g of pcDNA3.1 plasmid and 50 μ g of KBG allergen extract. Seven days after the last DNA and KBG vaccinations, control and experimental groups of mice were immunized intraperitoneally with 10 μ g of KBG allergen and 1 mg of alum.

Construction of the pIL-12 and pIFN- γ plasmids. To clone murine IL-12, the IL-12 p40 subunit was amplified from a mouse cDNA library as an NheI-XhoI cassette through use of the following set of primers: forward primer 5'-dCCA GGC AGC TAG CAG CAA AGC AA-3' (SEQ ID NO:1) and reverse primer 5'-dTCC CTC GAG GCA TCC TAG GAT CGG AC-3' (SEQ ID NO:2). The amplified product was ligated to the mammalian expression vector pcDNA 3.1 (INVITROGEN, San Diego, Calif) at the corresponding sites. The resulting plasmid, pcP40, was used as the template to amplify the p40 subunit and bovine growth hormone (BGH) poly A sequences derived from the pcDNA 3.1 vector as an *Hind*III-KpnI cassette and ligated to pcDNA 3.1 at the corresponding sites to generate the plasmid pc40. The following primers were used: 5'-dACC CAA GCT TGC TAG CAG CAA A-3' (SEQ ID NO:3) and 5'-dGAA GCC ATA GAG GGT ACC GCA TC-3' (SEQ ID NO:4). Through use of forward primer 5'-dTGC GGA TCC AGC ATG TGT CAA T-3' (SEQ ID NO:5) and reverse primer 5'-dGCA GAG GGC CTC GAG CTT TCA G-3' (SEQ ID NO:6), the p35 subunit of murine IL-12 was amplified as a BamHI-XhoI fragment and cloned into pcDNA3.1 to generate the pcP35 vector. With pcP35 used as a template, the cytomegalovirus (CMV) promoter and p35 subunit were as an EcoRI-EcoRV cassette and ligated to the corresponding site in the vector pc40. The resulting plasmid, pIL-12, had each of the 2 subunits of IL-12 under a separate CMV promoter and a BGH gene poly A sequence. Murine IFN-γ was cloned in pcDNA3.1; the construction of this plasmid has been described elsewhere (Kumar, M. et al., Vaccine, 1999, 18:558-567). Large-scale plasmid preparation was preformed through use of a QIAGEN kit (QIAGEN, Valencia, Calif).

<u>Immunohistochemistry</u>. Groups of naive mice were vaccinated intramuscularly 3 times with 100 µg of pIFN- γ , pIL-12, or pcDNA3.1 control vector at intervals of 2 days each.

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Seven days after the last vaccination, the mice were killed and their right quadriceps muscles were removed and subjected to paraffin embedding, as described previously (Kumar, M. et al., Vaccine, 1999, 18:558-567). Immunostaining for IFN-γ and IL-12 was preformed through use of polyclonal antibodies (Santa Cruz Biotechnology, Santa Cruz, Calif) against murine IFN-γ and the murine IL-12 p40 subunit, as described previously (Matsuse, H. et al., J. Immunol., 2000, 164:6583-6592).

Splenocyte culture and assay for cytokines. Seven days after the KBG immunization, one half of the animals in each group were killed and their spleens removed aseptically. Single-cell suspensions were cultured with 1 mg/mL KBG allergen for 48 hours. Supernatants were collected from the cultures, and the production of IFN-γ, IL-2, and IL-4 was determined by ELISA (R&D Systems, Minneapolis, Minn), according to the manufacturer's instructions.

Antibody assays. Mice were bled 21 days after KBG immunization, and their sera were collected. Total IgE and antigen-specific IgG1 and IgG2a were estimated by ELISA through use of purified antimouse mABs (PHARMINGEN, San Diego, Calif). Microtiter plates (COSTAR, Cambridge, Mass) were coated overnight with 0.1 μg per well of purified KBG allergen in a bicarbonate buffer (0.05 mol/L, pH 9.6) or antimouse IgE mAbs. Wells were washed with washing buffer (0.5% Tween-20 in PBS, pH 7.4) and blocked with 200 μL per well of PBS (pH 7.4) containing 1% BSA for 1 hour at 37° C. After 3 washes, serum samples were added at 100 μL per well and incubated for 2 hours at 37° C. After washing, biotinylated antimouse IgG1, IgG2a, and IgE antibodies were added to each well and incubated at 37° C for 1 hour. Streptavidin-peroxidase conjugate (1:10,000 dilution, SIGMA CHEMICALS, St Louis, Mo) was added after washing, and the wells were further incubated at 37° C for 1 hour. Finally, the plates were washed and color was developed by the addition of substrate tetramethyl benzidine (PHARMINGEN) at room temperature for 30 minutes. The reaction was stopped and the absorbance read at 450 nm through use of an automated ELISA reader.

<u>Pulmonary function</u>. To assess pulmonary function, naive mice (n = 4) were vaccinated 3 times as described in connection with the vaccination protocol. Seven days

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after the last cytokine pDNA and KBG vaccinations, animals were sensitized intraperitoneally with 10 μ g of KBG allergen and 1 mg of alum. Ten days later, these mice were challenged intranasally with 50 μ g of KBG allergen once daily for 3 consecutive days. Airway responsiveness was measured with a whole-body plethysmograph (BUXCO ELECTRONICS, Troy, NY) in conscious, unrestrained mice at 24 hours after allergen challenge; response to methacholine was expressed as enhanced pause, as previously described (Matsuse, H. et al., J. Immunol., 2000, 164:6583-6592).

Histologic analysis. Mice were killed with an overdose (0.6 g/kg) of pentobarbital (NEMBUTAL, Abbott Laboratories, North Chicago, Ill) 24 hours after the final allergen challenge, and lung sections were subjected to paraffin embedding. Lung inflammation was assessed after the sections were stained with hematoxylin and eosin; this was followed by scoring for severity of inflammation on a scale of 0 to 3 (0 = minimum degree of inflammation; 3 = the maximum), as described previously (Kumar, M. et al., Vaccine, 1999, 18:558-567). Pathologic scores were expressed as means \pm SDs. The slides were coded and scored in a blinded fashion twice each by 3 different individuals. Intraobserver variation was <5%.

<u>Statistical analysis.</u> Pairs of groups were compared through use of Student t tests. Differences between groups were considered significant at P < .05. Values for all measurements are expressed as means \pm SDs.

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Example 1—Plasmid Constructs and Expression of IL-12 and IFN-γ

The 2 subunits of murine IL-12, p35 and p40, were cloned into the same pcDNA3.1 vector. The cloning strategy was such that each subunit was under the transcriptional control of an individual CMV immediate-early promoter and also had its own BGH poly A sequences derived from the vector pcDNA3.1. Mice given pIFN- γ or pIL-12 exhibited expression of the IFN- γ or IL-12 p40 subunit, respectively, in their muscle, as observed by immunohistochemical staining of the muscle tissues (Figures 1A and 1C). No immunostaining was observed in a control group of mice that received the empty vector pcDNA3.1 (Figure 1B and 1D). The results of RT-PCR analyses of muscle mRNAs revealed

that mice given either pIFN- γ or pIL-12 (Figure 1E, lane 2 and lane 4), but not the control group of mice (Figure 1 E, lane 1 and lane 3), expressed IFN- γ and IL-12 p40 subunit-specific mRNAs, respectively.

Example 2—Cytokine Genetic Adjuvants Inhibit Production of IgE Antibodies and Enhanced Production of IgG2a Antibodies

Four groups of mice (n = 6) were vaccinated 3 times, as described in connection with the vaccination protocol. Sera were collected on day 21 after KBG immunization for an antibody assay. As shown in Figures 2A and 2B, mice given cytokine plasmid(s) as an adjuvant exhibited significantly lower total IgE levels than control mice (P < .01). The group of mice given both pIL-12 and pIFN- γ constructs revealed a significantly lower amount of total IgE than mice given pIL-12 (P < .01) or pIFN- γ (P < .05) alone (Figure 2B). There was also an increase in antigen-specific IgG2a levels (P < .01) in mice given pIFN- γ plus pIL-12 as an adjuvant in comparison with the control mice and the mice receiving either plasmid alone (Figure 2A). However, no significant difference was observed for antigen-specific IgG1 antibody levels among the cytokine pDNA-vaccinated and control groups of mice (Figure 2A). These results indicate that administration of cytokine pDNA adjuvants along with allergen vaccines resulted in a shift in the antibody production from the IgE type to the IgG2a type.

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Example 3—Cytokine Genetic Adjuvants Alter the Cytokine Profiles of Splenocytes

Four groups of mice (n = 6) were vaccinated 3 times as described in connection with the vaccination protocol. Spleens were removed on day 7, after KBG immunization, and cultured *in vitro* for assessment of T_H1 -like cytokines IL-2 and IFN- γ or T_H2 -like cytokine IL-4. Mice given cytokine pDNA adjuvants produced more T_H1 -like cytokines than did the controls (Figures 3A-3C). Mice given combined pIFN- γ and pIL-12 produced higher amounts of IFN- γ (194.64 pg/mL) than mice given pIFN- γ (100.14 pg/mL) or pIL-12 (111.87 pg/mL) alone. Control mice produced only 11.71 pg/mL IFN- γ (Figure 3A). Mice vaccinated with pDNA cytokine(s) adjuvant produced more IL-2 than did control mice. IL-2

levels after vaccinations were as follows: pIFN- γ , 73.68 pg/mL; pIL-12, 128.43 pg/mL; pIFN- γ plus pIL-12, 202.57 pg/mL; and pcDNA3.1 (control), 51.88 pg/mL (Figure 3A). In contrast, control mice, which were vaccinated only with the empty vector plasmid, produced more IL-4 (37.55 pg/mL) than the groups injected with the pDNA cytokine adjuvant, which produced 9.71 pg/mL (pIFN- γ plus pIL-12), 13.05 pg/mL (pIFN- γ) and 17.99 pg/mL (pIL-12) of IL-4 (Figure 3B). No significant difference was observed in IL-4 production among the pIFN- γ , pIL-12, and pIFN- γ plus pIL-12 treatment groups.

To examine the dominant pattern of cytokine responses, IFN- γ :IL-4 and IL-2:IL-4 ratios were compared among different groups of mice (Figure 3C). The IFN- γ :IL-4 ratios in the mice vaccinated with pIFN- γ , pIL-12, and pIFN- γ plus pIL-12 as adjuvants were 7.48, 6.33, and 20.86, respectively, whereas the empty pcDNA3.1-vaccinated control exhibited a ratio of only 0.31. The ratios of IL-2:IL-4 in pIFN- γ , IL-12, pIFN- γ plus IL-12, and pcDNA3.1 controls were 7.50, 7.32, 21.71, and 1.4, respectively. These results indicate that the net cytokine balance shifted in favor of the T_H1-like response in cytokine plasmid-vaccinated mice; however, this shift was greater in the group vaccinated with the adjuvant that was a combination of pIFN- γ and pIL-12 plasmid DNAs.

Example 4—Cytokine Genetic Adjuvants Prevent the Development of Airway Hyperresponsiveness in Allergen-Sensitized and —Challenged Mice

Mice (n = 4) were vaccinated, sensitized, and challenged as described in connection with pulmonary function. The airways of control mice that received the empty vector as vaccine adjuvant were significantly more reactive to 50 mg/mL of methacholine than those of the mice that received pIFN- γ and/or pIL-12, as shown in Figure 4. Mice vaccinated with pIFN- γ plus pIL-12 exhibited the least reactivity to the inhaled methacholine challenge in comparison with the pcDNA3.1 (P < .01), pIFN- γ , and pIL-12 groups (P < .05). These results suggest that the vaccination of mice with combined pIFN- γ and pIL-12 as an adjuvant significantly reduces airway reactivity.

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Example 5—Combination of IFN-γ and IL-12 Plasmid DNAs Prevents Lung Inflammation in the Allergen-Sensitized Mice

Mice (n = 4) were vaccinated, sensitized, and challenged as described in connection with pulmonary function. Lung inflammation was examined 24 hours after the final allergen challenge. Representative pathologic features are shown in Figures 5A-5D. The group of mice receiving the combined constructs as an adjuvant (Figure 5D) exhibited less epithelial damage and less infiltration of mononuclear cells and polymorphs in the interstitial and peribronchovascular region than was seen in the control group (Figure 5A) and the other vaccinated groups (Figure 5B and 5C). A semi-quantitative analysis using a scoring system for inflammatory cells in the lung is shown in Table 1. Groups of mice that received pIFN- γ , pIL-12, or a combination of the two exhibited reduced pulmonary inflammation in comparison with the empty vector (pcDNA3.1) control. The group of mice that received the combination of pIFN- γ and pIL-12 showed significantly less (P < .05) pulmonary inflammation than the pIFN- γ and pIL-12 groups. No statistically significant difference was found between the pIFN- γ and pIL-12 groups.

Table 1. Quantification of pulmonary inflammation in mice after allergen vaccination with various cytokine adjuvants

Pathology	pcDNA3.1	Adjuvants pIFN-γ	pIL-12	pIFN-γ + pIL-12
Epithelial damage	2.8 ± 0.12	$2.23 \pm 0.23^*$	$2.3 \pm 0.16^*$	$1.36 \pm 0.48^{*\dagger\ddagger}$
Interstitial-alveolar	2.73 ± 0.20	$2.4 \pm 0.25^*$	2.56 ± 0.42	$1.76 \pm 0.36^{*\dagger\ddagger}$
infiltrate				
Peribronchovascular	2.83 ± 0.26	$2.16 \pm 0.23^*$	$2.16 \pm 0.32^*$	$1.26 \pm 0.20^{*\dagger\ddagger}$
infiltrate				

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Each value represents the mean \pm SD of 5 fields from 6 individual lung sections from each mouse in a group (n = 4). Values were considered significant when the P value was less than

.05 (*†‡). Statistical differences are indicated as follows: *in comparison with pcDNA3.1 control; †in comparison with pIFN-γ control; †in comparison with pIL-12 control.

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Previously, it was shown in a mouse model that effective parenteral vaccination of mice with grass allergens required subcutaneous injection of a high dose (250 μ g to 1 mg per mouse) of allergens (Cao, Y. et al., Immunology, 1997, 90:46-51). By corollary, an even higher dose of allergen mixture would be required to induce an effective immune deviation from an allergic response. The results of this study demonstrate that in a mouse, a substantially lower dosage (50 µg) of allergens, when administered along with a pDNA cytokine(s) adjuvant, can induce effective immune deviation and a protective airway response in comparison with allergens alone. Furthermore, a comparison of pIFN-γ and/or pIL-12 as adjuvants indicates that the combination of pIFN- γ and pIL-12 is a more effective adjuvant than either of these plasmids alone. Although the effect of these adjuvants in mice with an established allergic response was not examined, a number of studies have reported the effectiveness of INF-γ and of IL-12 in modulating established allergic responses (Li, X.M. et al., J. Immunol, 1996, 157:3216-3219; Hogan, S.P. et al., Eur J Immunol, 1998, 28:413-423; Dow, S.W. et al., Hum Gene Ther, 1999, 10:1905-1914; Maecker, H.T. et al., J Immunol, 2001, 166:959-965). The results of the study, therefore, have significant implications for "time-honored" AIT, which might be rendered more safe and effective by including these cytokine plasmids as adjuvants.

A major finding of this study is that a vaccine adjuvant comprising both pIFN- γ and pIL-12 inhibited total IgE levels in mice with a concomitant increase of allergen-specific IgG2a antibody production. The level of IgE synthesis in mice vaccinated with a cocktail adjuvant was significantly (P < .001) more suppressed than that of the levels obtained by vaccination with pIFN- γ or pIL-12 alone as an adjuvant. Both systemic and local IFN- γ gene delivery has been shown to decrease serum IgE levels (Dow, S.W. et al., Hum Gene Ther, 1999, 10:1905-1914), whereas the role of the IL-12 protein as an adjuvant on serum IgE levels has been controversial (Kips, J.C. et al., Am J Respir Crit Care Med, 1996, 153:535-539; Brusselle, G.G. et al., Am. J Respir Cell Mol Biol, 1997, 17:767-771; Sur, S. et al., J Immunol, 1996, 157:4173-4180; Yoshimoto, T. et al., Proc Natl Acad Sci USA, 1997,

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94:3948-3953). The results of this study indicate that an adjuvant comprising the IFN- γ and IL-12 plasmids enhances the production of IFN- γ . This finding is in agreement with a report that mice vaccinated with IL-12 exhibited enhanced local IFN- γ production (Schwarze, J. *et al.*, *J Allergy Clin Immunol*, 1998, 102:86-93).

The deviation of the cytokine production profile from T_H2-like to T_H1-like is a significant marker of the effectiveness of a vaccine. Analysis of the cytokine pattern of mice after vaccination with allergens and different plasmid adjuvants revealed that the group of mice receiving pIFN-γ plus pIL-12 as the adjuvant had a more profound T_H1-like effect—*i.e.*, it produced higher levels of IFN-γ and IL-2 than either of the cytokine plasmids alone. Analysis of the IL-4 expression from the splenocytes of different groups of mice revealed no significant difference among the groups of mice vaccinated with pIFN-γ, pIL-12, or a combination of the two. A predominance of the T_H1-like response seen with cytokine adjuvants is consistent with the finding of Hogan *et al.* (Hogan, S.P. *et al.*, *Eur J Immunol*, 1998, 28:413-423), who showed, using the vaccinia virus as a gene delivery vehicle, that IL-12 gene transfer to murine lung inhibited T_H2 cytokine production, which was mediated through the production of IFN-γ. Because allergic individuals produce limited amounts of IFN-γ, an adjuvant providing the expression of both IFN-γ and IL-12 induces a more effective T_H1-like response.

In patients with allergic asthma, it was shown that airway hyperresponsiveness correlates with the severity of disease and is therefore a major clinical feature of allergic asthma. A direct instillation into the respiratory tract or an intraperitoneal administration of either rIL-12 or rIFN-γ inhibits allergic airway inflammation and in some cases suppresses airway hyperresponsiveness (Gavett, S.H. et al., J Exp Med, 1995, 182:1527-1536; Iwamoto, I. et al., J Exp Med, 1993, 177:573-576). However, these protocols require a regular or daily dosing over periods ranging from 5 to 13 days to produce significant protective effects. Furthermore, gene transfer studies using IFN-γ and IL-12 have been shown to suppress airway hyperreactivity (Li, X.M. et al., J Immunol, 1996, 157:3216-3219; Hogan, S.P. et al., Eur J Immunol, 1998, 28:413-423). The results of this investigation indicate that allergen vaccine formulations that include allergens and pIFN-γ, pIL-12, or a combination of both

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cytokines as an adjuvant significantly decrease airway hyperresponsiveness and that a combination of pIFN- γ and pIL-12 induces the highest reduction in airway responsiveness. There appears to be a synergistic effect when both cytokine plasmids (pIFN- γ and pIL-12) are administered to the mice. A parenteral administration of only 50 μ g of allergen-induced massive cellular infiltration and airway obstruction in the lung tissue of mice in the present study. However, allergens given with pIFN- γ , pIL-12, or a combination as an adjuvant limited not only the cellular infiltration but also epithelial cell damage. Consistent with previous observations, either pIFN- γ or pIL-12 as an adjuvant decreased eosinophilic cellular infiltration (Li, X.M. et al., J Immunol, 1996, 157:3216-3219; Hogan, S.P. et al., Eur J Immunol, 1998, 28:413-423), whereas treatment with a combination of pIFN- γ and pIL-12 as an adjuvant was most effective.

Not wishing to be bound by theory, one mechanism for the effectiveness of pIFN-g and pIL-12 as an adjuvant may be that CpG sequences, which are present in the backbone plasmid pcDNA3.1, nonspecifically contribute to the endogenous IL-12/IFN-g production (Roman, M. et al., Nat Med, 1997, 3:849-854). One possibility is that CpG sequences present in the backbone plasmid, pcDNA3.1, nonspecifically contribute to the endogenous IL-12/IFN-γ production (Roman, M. et al., Nat Med, 1997, 3:849-854). However, this is unlikely, because all groups received an equal dose of plasmid and mice receiving both pIFN- γ and pIL-12 received an adjusted dose (50 μ g each, for a total of 100 μ g). The empty vector, which also contained CpGs, had no cytokine-inducing or immunomodulatory effect. Furthermore, the higher effectiveness of combined pIFN-γ and pIL-12 in comparison with either of the plasmids alone (100 µg) might be attributed to the CpG motifs in the coding sequences; however, the cDNA inserts are mammalian sequences, which have a low frequency of CpG dinucleotides, are mostly methylated, and do not have immunostimulatory activity (Hemmi, H. et al., Nature, 2000, 408:740-750). Nonetheless, a search for a canonical hexamer purine-purine-CG-pyrimidine-pyrimidine as a possible core CpG motif led to the identification of GACGTT, GGCGTC, GGCGTT sequences in IL-12, and AACGCT, AGCGCT sequences in IFN-γ. However, whether these sequences in the mouse cDNA are capable of binding to the mouse Toll-like receptor 9 and cause immune

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stimulation, like the CpG motifs present in the bacterial DNA, remains to be investigated (Hemmi, H. et al., Nature, 2000, 408:740-750).

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Alternatively, the synergy between pIFN-γ and pIL-12 on the induction of a T_H1dominant state and the inhibition of airway inflammation may be in their cellular mechanism of action. First, although IL-12 is the primary determinant of the T_H1 differentiation, IFN-γ endogenously synthesized during the priming of naive CD4-positive T cells both accelerates and enhances the T_H1 differentiating effects of IL-12 (Wenner, C.A. et al., J Immunol, 1996, 56:1442-1447; Bradley, L.M. et al., J Immunol, 1996, 157:1350-1358). Thus, IFN-γ presumably synergizes with T-cell receptor engagement and induces the expression of functional IL-12 receptors on naive T cells. This idea is consistent with the report that the presence of IFN- γ and IFN- α in cultures enhanced IL-12R β 2 expression on CD4-positive and CD8-positive T cells and that the enhancing effect of IFN-γ was independent of endogenous IL-12 or IFN-α (Wu, C.Y. et al., Eur J Immunol, 2000, 30:1364-1374). Second, the highest amount of IL-2 produced by a combined vaccination with pIFN-γ and pIL-12 might synergize with IFN-γ effects and contribute to a more effective T_H1-like response. IL-2 regulates expression of the IL-12\beta2 receptor on natural killer cells (Wu, C.Y. et al., Eur J Immunol, 2000, 30:1364-1374). It is to be noted however, that these 2 possibilities are not mutually exclusive. Irrespective of the mechanism involved, the results lead to the conclusion that in a mouse model of grass allergy, the administration of cytokine plasmids encoding IFN-γ and IL-12 as an adjuvant enhances the therapeutic effectiveness of grass allergen vaccines.

All patents, patent applications, provisional applications, and publications referred to or cited herein are incorporated by reference in their entirety, including all figures and tables, to the extent they are not inconsistent with the explicit teachings of this specification.

It should be understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application.

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